PENOBSCOT
FINANCIAL ADVISORS

Document Checklist

The following checklist is meant to act as a guide only. Ignore any items that do not apply or that you simply do not have available. Please feel free to add information that you believe is relevant.

DOCUMENT	SOURCE
☐ **Retirement Account Statement(s)**	*Investment Carrier*
☐ **IRA/ROTH IRA Statement(s)**	*Broker, Mutual Fund Company, Bank*
☐ **Annuity or Pension Statement(s)**	*Investment Carrier or Employer*
☐ **Other Investment Statement(s)**	*Broker, Mutual Fund Company, Bank*
☐ **Pay Stub**	*Employer*
☐ **Social Security Statement**	*www.ssa.gov/estimator*
☐ **Budget**	*Personal Files*
☐ **1099/W2 and Tax Return**	*Personal Files or Accountant*
☐ **Bank Statement(s)**	*Personal Files or Bank*
☐ **Mortgage Statement(s)**	*Personal Files or Lender*
☐ **Student Loan Statement(s)**	*Lender*
☐ **Consumer Debt, Credit Card Statement(s)**	*Lender*
☐ **Disability Insurance Policies**	*Insurance Agent*
☐ **Life Insurance Policies**	*Insurance Agent*
☐ **Long Term Care Insurance Policies**	*Insurance Agent*
☐ **Wills and Trusts**	*Attorney*